

### ALGEBRAIC TOPOLOGY-LECTURE 3

**recall:** A covering space of a space  $X$  is a space  $\tilde{X}$  together with a map  $\varphi : \tilde{X} \rightarrow X$  satisfying the following condition: there exists an open cover  $\{U_\alpha\}$  of  $X$  such that for each  $\alpha$ ,  $\varphi^{-1}(U_\alpha)$  is a disjoint union of open sets in  $\tilde{X}$ , each of which is mapped homeomorphically onto  $U_\alpha$  by  $\varphi$ . Equivalent definition in the categorical language: There exists a unique homeomorphism  $\mu$  such that the following diagram is commutative ( $p$  is the trivial projection):

$$\begin{array}{ccc} X \times D & \xrightarrow{\exists! \mu} & \tilde{X} \\ \downarrow p & & \downarrow \varphi \\ X & \xrightarrow{id} & X \end{array}$$

The empty disjoint union is allowed, so  $p$  need not be surjective.

**Definition.**  $X$  is *locally connected* if  $\forall x \in X$  and for every neighborhood  $U$  of  $x$ , there exists  $V \subseteq U$  such that  $V$  is connected. More generally,  $X$  is locally “something” if  $\forall x \in X$  and for every neighborhood  $U$  of  $x$ , there exists  $V \subseteq U$  such that  $V$  is “something”.

$X$  is *simply connected* iff  $\pi_1(X) = \{0\}$ .

**Example.** Take  $F = \{x, \sin(1/x)\}_{x \in (0,1]} \cup \{0\} \times [-1, 1]$  and take some path  $\gamma$  between  $(0, 0) \in F$  and  $(1, \sin(1)) \in F$ , and set  $G = F \cup \{\gamma\}$ . Then  $G$  is path connected, but not locally path connected (the point  $(0, 0)$  is the problematic one).

**Definition.** An isomorphism between covering spaces  $p_1 : \tilde{X}_1 \rightarrow X$  and  $p_2 : \tilde{X}_2 \rightarrow X$  is a homeomorphism  $f : \tilde{X}_1 \rightarrow \tilde{X}_2$  such that  $p_1 = p_2 f$ . This condition means exactly that  $f$  preserves the covering space structures, taking  $p_1^{-1}(x)$  to  $p_2^{-1}(x)$  for each  $x \in X$ . The inverse  $f^{-1}$  is then also an isomorphism, and the composition of two isomorphisms is an isomorphism, so we have an equivalence relation.

**Exercise.** Prove the *homotopy lifting property*: given a covering space  $p : \tilde{X} \rightarrow X$ , a homotopy  $f_t : Y \rightarrow X$ , and a map  $\tilde{f}_0 : Y \rightarrow \tilde{X}$  lifting  $f_0$ , then there exists a unique homotopy  $\tilde{f}_t : Y \rightarrow \tilde{X}$  of  $\tilde{f}_0$  that lifts  $f_t$ .

**Theorem.** Let  $X$  be path-connected, locally path-connected, and semilocally simply-connected. Then there is a bijection between the set of basepoint-preserving isomorphism classes of path-connected covering spaces  $p : (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$  and the set of subgroups of  $\pi_1(X, x_0)$ , obtained by associating the subgroup  $p^*(\tilde{X}, \tilde{x}_0)$  to the covering space  $(\tilde{X}, \tilde{x}_0)$ . If basepoints are ignored, this correspondence gives a bijection between isomorphism classes of path-connected covering spaces  $p : \tilde{X} \rightarrow X$  and conjugacy classes of subgroups of  $\pi_1(X, x_0)$ .

The proof for this classification theorem can be found in Hatcher.

**Recall:** Let  $H < G$  (not necessarily normal). Then there exists an action of  $G$  on  $G/H$ . Also, given an action of  $G$  on  $X$  then  $G/G_{x_0} \cong X$  (isomorphism of  $G$ -sets).

For a covering space  $p : \tilde{X} \rightarrow X$ , a path  $\gamma$  in  $X$  has a unique lift  $\tilde{\gamma}$  starting at a given point of  $p^{-1}(\gamma(0))$ , so we obtain a well-defined map  $L_\gamma : p^{-1}(\gamma(0)) \rightarrow p^{-1}(\gamma(1))$  by sending the starting point  $\tilde{\gamma}(0)$  of each lift  $\tilde{\gamma}$  to its ending point  $\tilde{\gamma}(1)$ . This map defines an action of  $\pi_1(X, x_0)$  on the fiber  $p^{-1}(x_0)$ .

**Exercise.** The action of  $\pi_1(X, x_0)$  is transitive iff  $\tilde{X}$  is path connected.

*Remark.* In the lecture we referred to this group action by “Deck transformations” but the definition of Deck transformation is a little different (next lecture).

**Theorem.** 1)  $p^* : \pi_1(\tilde{X}, \tilde{x}_0) \rightarrow \pi_1(X, x_0)$  is an embedding.

2)  $\pi_1(\tilde{X}, \tilde{x}_0) \cong \pi_1(X, x_0)_{\tilde{x}_0}$  via  $p^*$  (The left term is the stabilizer of  $\tilde{x}_0$  under the action of  $\pi_1(X, x_0)$ ).

*Proof.* 1) An element of the kernel of  $p^*$  is represented by a loop  $\tilde{\gamma} : I \rightarrow \tilde{X}$  such that  $p \circ \tilde{\gamma} \sim \text{const}$ . So we have an homotopy  $f_t : I \rightarrow X$  of  $f_0 = p \circ \tilde{\gamma}$  to the trivial loop  $\gamma_{\text{const}}$ . By the homotopy lifting property, there exists an homotopy  $\tilde{f}_t : I \rightarrow \tilde{X}$  from  $\tilde{\gamma}$  to the lift of  $\gamma_{\text{const}}$ . But a lift of a constant path has to be a constant path as well since the fiber of  $x_0$  is a discrete set. so  $\tilde{\gamma} \sim \text{const}$  and therefore  $\ker p^*$  is trivial.

2) Let  $[\tilde{\gamma}] \in \pi_1(\tilde{X}, \tilde{x}_0)$ . Then  $p^*([\tilde{\gamma}]) = [p \circ \tilde{\gamma}]$  and notice that  $p \circ \tilde{\gamma}$  stabilizes  $\tilde{x}_0$  since its lifting at  $\tilde{x}_0$  is  $\tilde{\gamma}$  and its a loop. On the other direction, let  $[\gamma] \in \pi_1(X, x_0)_{\tilde{x}_0}$  then the lifting of  $\gamma$  at  $\tilde{x}_0$  is a loop  $\tilde{\gamma}$ . so  $p^*([\tilde{\gamma}]) = [\gamma]$ . So we have a surjective monomorphism so its an isomorphism.  $\square$

**Example.** 1) take the cover  $p : R \rightarrow S^1$ . We have the morphism  $p^* : \{0\} \rightarrow \mathbb{Z}$ .

2) Take the cover  $p : S^1 \rightarrow S^1$  by  $e^{i\theta} \mapsto e^{2i\theta}$ . This corresponds to  $p^* : \mathbb{Z} \rightarrow \mathbb{Z}$  by  $m \mapsto 2m$ .

**Theorem.** Let  $p : \tilde{X} \rightarrow X$  a covering. TFAE:

a)  $\tilde{X}$  is simply connected.

b)  $\tilde{X}$  doesn't have non trivial covering.

c)  $\pi_1(X, x_0)$  acts freely on  $\varphi^{-1}(x_0)$  (trivial stabilizer).

d) For any cover  $p' : \tilde{X}' \rightarrow X$  there is a unique continuous map  $\mu : \tilde{X} \rightarrow \tilde{X}'$  such that  $p' \circ \mu = p$ .

*Remark.* Im not sure, but this theorem might demands  $X$  to be path-connected, locally path-connected, and semilocally simply-connected.

**Corollary.** *There exists a unique cover (up to isomorphism of covers)  $\tilde{X}$  satisfy the above conditions, and it is called the universal cover.*

*Proof.* If there are 2 simply connected covers  $\tilde{X}_1$  and  $\tilde{X}_2$ , then by d) there exists  $\mu_1, \mu_2$  such that  $p_2 \circ \mu_1 = p_1$  and  $p_1 \circ \mu_2 = p_2$  so we have  $p_1 \circ \mu_2 \circ \mu_1 = p_1$ . But also from d) it follows that  $id$  is the only continuous map  $\mu : \tilde{X}_1 \rightarrow \tilde{X}_1$  such that  $p_1 \circ \mu = p_1$  so  $\mu_2 \circ \mu_1 = id$  and in the same way  $\mu_1 \circ \mu_2 = id$  so  $\mu_1$  is homeomorphism that preserves the fibers so an isomorphism of the covers above.  $\square$

**Exercise.** 1)  $\varphi : \tilde{X} \rightarrow X$  a cover,  $X$  path connected, then  $\varphi^{-1}(x_1) = \varphi^{-1}(x_2)$  for any  $x_1, x_2 \in X$ .

2) A cover is an open map.

3) If  $G$  acts transitively on  $X$ , then  $|G_x| = |G_y|$  for any  $x, y \in X$ .

Now for the proof of the theorem:

*Proof.* a)  $\implies$  b): We have the 1-1 map  $p^* : \pi_1(\tilde{X}') \rightarrow \pi_1(\tilde{X})$  and we gave an exercise that if  $\tilde{X}$  is path connected then  $\pi_1(\tilde{X}) = \{0\}$  acts transitively on the fibers, so the fibers has to be single points and  $\tilde{X}'$  is trivial.

a)  $\iff$  c) Assume  $\tilde{X}$  is simply connected. So  $\pi_1(\tilde{X}) = \{0\}$ . and we showed that  $\pi_1(\tilde{X}) \cong \pi_1(X)_{\tilde{x}_0} = \{0\}$  so  $\pi_1(X)$  acts freely on the fibers. For the other direction, read backwards...

d)  $\implies$  a) Assume  $\tilde{X}$  have a non trivial covering, then  $\tilde{X}$  is not simply connected and we can choose  $\tilde{X}'$  to be a simply connected cover. So  $p' : \tilde{X}' \rightarrow \tilde{X}$  a non trivial covering.

Claim:  $\tilde{X}'$  is a cover of  $X$  (without assuming locally simply connectedness of  $X$ , a cover of a cover doesnt have to be a cover if the fiber of the first cover  $p_1$  is infinite, since if we choose  $U$  a neighborhood of  $x$  such that  $p^{-1}(U)$  is trivial,  $p^{-1}(U)$  has infinite copies and the triviality of  $p_2$  on each copy can be on a smaller and smaller neighborhood of the fibers of  $x$  such that the intersections of this neighborhood will be a single point). In order to fix this, we use the assumption of the locally simply connectedness.

proof: We take a small neighborhood  $U$  of  $X$  such that  $U$  is simply connected and  $p^{-1}(U) = \mu(U \times D_1)$  when  $\mu$  is homeomorphism (this is possible since  $X$  is locally-semisimple). Now look at each  $\mu(U \times \{d\})$ , It is semisimple since its homeomorphic to  $U$  and from a)  $\implies$  b) we now that since  $p'^{-1}(\mu(U \times \{d\}))$  is a cover of a semisimple  $\mu(U \times \{d\})$ , it has to be trivial, i.e  $p'^{-1}(\mu(U \times \{d\})) \cong \mu(U \times \{d\}) \times D_2 \cong U \times D_1 \times D_2$  and its true for every  $d$ , so we get that  $p'^{-1} \circ p^{-1}(U) \cong U \times D_1 \times D_2$  and therefore  $\tilde{X}'$  is a cover of  $X$ . But now we use d) to get a unique continuous map  $\xi : \tilde{X} \rightarrow \tilde{X}'$  such that  $p \circ p' \circ \xi = p$ .

Now, as we will see, for a simply connected cover  $\widetilde{X}'$  we have  $G(\widetilde{X}', \widetilde{X}) \cong \pi_1(\widetilde{X})$  so we have a non-trivial isomorphism of covers  $\rho : \widetilde{X}' \rightarrow \widetilde{X}$  corresponds to some lifting of  $[\gamma] \in \pi_1(\widetilde{X})$  (Hatcher p.71). Now observe that  $p \circ p' \circ \rho \circ \xi = p \circ p' \circ \xi = p$  so  $\xi = \rho \circ \xi$  form the uniqueness, so  $\rho$  stabilizes some point  $\xi(\tilde{x})$  in the fiber of  $\tilde{x}$ , but  $[\gamma]$  acts freely from (c) so  $[\gamma] = [const]$  and  $\rho$  is trivial. contradiction.

a)  $\implies$  d)  $\widetilde{X}$  is simply connected. Let  $\psi : (\widetilde{X}', \tilde{x}') \rightarrow (X, x_0)$  a covering. We take  $\gamma : I \rightarrow \widetilde{X}$  from  $\gamma(0) = \tilde{x}_0$  to  $\gamma(1) = \tilde{x}$ , and consider  $\gamma'$  to be the lifting by  $\psi$  of  $p \circ \gamma$  at the point  $\tilde{x}'$ . Define the following map  $\tau : \widetilde{X} \rightarrow \widetilde{X}'$  by  $\tilde{x} \mapsto \gamma'(1)$ . So we get that  $\psi \circ \tau = p$ . Observe that:

\*  $\tau$  is well defined since if we take a different  $\beta : I \rightarrow \widetilde{X}$  from  $\beta(0) = \tilde{x}_0$  to  $\beta(1) = \tilde{x}$  then  $\gamma * \beta^{-1} \sim id$  since  $\widetilde{X}$  is simply connected. so  $p^*([\gamma * \beta^{-1}]) = [const]$  and therefore the lifting by  $\psi$  will be in the kernel of  $\psi^*$  which is a loop at  $\tilde{x}'$ . Also  $p \circ (\gamma * \beta^{-1}) = p \circ \gamma * p \circ \beta^{-1}$  and  $lift(p \circ \gamma * p \circ \beta^{-1}) = lift(p \circ \gamma) * lift(p \circ \beta^{-1})$ . so we see that the end point of the lift of  $p \circ \gamma$  is the same as the end point of the lift of  $p \circ \beta$  and since  $lift(p \circ \gamma * p \circ \beta^{-1})$  is a loop at  $\tilde{x}'$  then both  $lift(p \circ \gamma)$  and  $lift(p \circ \beta)$  starts at  $\tilde{x}'$  so by definition,  $\tau$  doesn't depend on the choice of  $\beta$  and  $\gamma$ .

\*  $\tau$  is continuous: Take an open  $U \subseteq \widetilde{X}'$  such that  $\psi^{-1} \circ \psi(U) \cong U \times D$ . Now observe that  $p^{-1}\psi(U) = \tau^{-1} \circ \psi^{-1}\psi(U)$  and  $p^{-1}\psi(U)$  is open, so  $\tau^{-1} \circ \psi^{-1}\psi(U)$  is open. But  $\psi^{-1}\psi(U)$  contains a disjoint union of  $U$  and other sets homeomorphic to  $U$  so by the properties of disjoint union this implies that  $\tau^{-1}(U)$  is open.

The last observation is that the open sets  $U$  such that  $\psi^{-1} \circ \psi(U) \cong U \times D$  form a basis for the topology on  $\widetilde{X}'$  (since for any open set  $V \subseteq \widetilde{X}'$  and any point  $\tilde{x} \in V$  we can take a neighborhood  $B$  in  $\psi(V)$  of  $\psi(\tilde{x})$  such that  $\psi^{-1}(B) \cong B \times D$  via  $\mu$ . so  $\tilde{x} \in \mu^{-1}(B \times \{d\})$  for some  $d \in D$  so  $W = \mu^{-1}(B \times \{d\}) \cap V \subseteq V$   $\psi^{-1} \circ \psi(W) \cong W \times D$  and it is an element of the basis. So it is indeed a basis. So  $\tau$  is continuous.

\*  $\tau$  is unique, since if we have also  $\tau'$  then taking any  $\gamma(0) = \tilde{x}_0$  and  $\gamma(1) = \tilde{x}$  then both  $\tau \circ \gamma$ ,  $\tau' \circ \gamma$  are two liftings of  $p \circ \gamma$  and they have the same starting point since  $\tau', \tau$  are continuous maps on pointed topological spaces so  $\tau(\tilde{x}_0) = \tau'(\tilde{x}_0) = \tilde{x}'$ . So they have the same lift so  $\tau \circ \gamma = \tau' \circ \gamma$  and  $\tau(\tilde{x}) = \tau'(\tilde{x})$ .

b)  $\implies$  a)  $\widetilde{X}$  doesn't have non trivial covering, then  $\widetilde{X}$  has to be simply connected, since every space  $Y$  (satisfying some local connectedness conditions) has a semisimple cover and if  $\widetilde{X}$  is not simply connected then this semisimple cover will be non trivial. For the construction, see "tirgul 3" or hatcher.  $\square$